# (19) World Intellectual Property Organization International Bureau



# 

#### (43) International Publication Date 8 February 2001 (08.02.2001)

# **PCT**

# (10) International Publication Number WO 01/09350 A3

- (51) International Patent Classification<sup>7</sup>: C12N 15/74, A61K 39/02, C12N 15/31, 15/67, A61K 39/095, 39/116, 39/118, 39/09, 39/104, 39/102, 39/295, C07K 14/195, C12N 1/21
- (21) International Application Number: PCT/EP00/07424
- (22) International Filing Date: 31 July 2000 (31.07.2000)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 9918319.6

3 August 1999 (03.08.1999) GB

- (71) Applicant (for all designated States except US): SMITHKLINE BEECHAM BIOLOGICALS S.A. [BE/BE]; 89, rue de l'Institut, B-1330 Rixensart (BE).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): BERTHET, François-Xavier, Jacques [FR/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). DALEMANS, Wilfried, L., J. [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). DENOEL, Philippe [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). DEQUESNE, Guy [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). FERON, Christiane [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). LOBET, Yves [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). POOLMAN, Jan [NL/NL]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330

Rixensart (BE). THIRY, Georges [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). THONNARD, Joelle [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE). VOET, Pierre [BE/BE]; SmithKline Beecham Biologicals s.a., 89, rue de l'Institut, B-1330 Rixensart (BE).

- (74) Agent: DALTON, Marcus, Jonathan, William; Corporate Intellectual Property, SmithKline Beecham, Two New Horizons Court, Brentford, Middlesex TW8 9EP (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- -- with international search report
- (88) Date of publication of the international search report: 30 August 2001

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



#### (54) Title: GENETICALLY ENGINEERED BLEB VACCINE

(57) Abstract: The present invention relates to an immuno-protective and non-toxic Gram-negative bleb vaccine suitable for paediatric use. Examples of the Gram-negative strains from which the blebs are made are N. meningitidis, M. catarrhalis and H. influenzae. The blebs of the invention are improved by one or more genetic changes to the chromosome of the bacterium, including up-regulation of protective antigens, down-regulation of immunodominant non-protective antigens, and detoxification of the Lipid A moiety of LPS.

Inte .onal Application No PCT/EP 00/07424

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C12N15/74 A61K39/02 C12N15/31 C12N15/67 A61K39/095
A61K39/116 A61K39/118 A61K39/09 A61K39/104 A61K39/102
A61K39/295 C07K14/195 C12N1/21

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N A61K C07K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, BIOSIS, MEDLINE, EMBASE

C. DOCUM	ENTS CONSIDERED TO BE RELEVANT	<del></del>		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
X	CLAASSEN I ET AL: "Production, characterization and control of a Neisseria meningitidis hexavalent class 1 outer membrane protein containing vesicle vaccine" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 14, no. 10, 1 July 1996 (1996-07-01), pages 1001-1008, XP004057632 ISSN: 0264-410X	1,3-5, 12,14, 15,35, 42,43, 54-56		
Y	page 1001, column 1, line 1 -page 1002, column 1, last paragraph page 1004, column 1, paragraph 3 -column 2, paragraph 4 page 1006, column 2, paragraph 2 -page -/	2,6-10, 20-23, 26-28, 36-40		

Y Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search	Date of mailing of the international search report
14 March 2001	2 1. 03. 01
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Muller-Thomalla, K

Inte ional Application No PCT/EP 00/07424

		PCI/EP 00/0/424				
<u> </u>	Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT  tegory *   Citation of document, with indication, where appropriate, of the relevant passages   Relevant to claim No.					
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Helevant to claim No.				
	1007, paragraph 4					
X	VAN DER LEY P ET AL: "Construction of Neisseria meningitidis strains carrying multiple chromosomal copies of the porA gene for use in the production of a multivalent outer membrane vesicle vaccine" VACCINE, BUTTERWORTH SCIENTIFIC. GUILDFORD, GB, vol. 13, no. 4, 1995, pages 401-407, XP004057740	1,3-5, 12,14, 15,35, 42,43, 54-56				
Y	ISSN: 0264-410X	2,6-10, 13,16, 20-23, 26-28, 36-40				
	abstract page 401, column 1, line 1 -page 402, column 2, paragraph 4; figure 1 page 405, column 2, paragraph 2 -page 406, column 2, paragraph 2					
X	CARTWRIGHT K ET AL: "Immunogenicity and reactogenicity in UK infants of a novel meningococcal vesicle vaccine containing multiple class 1 (PorA) outer membrane proteins."  VACCINE, (1999 JUN 4) 17 (20-21) 2612-9., XP002154106	1,3-5, 12,14, 15,35, 42,43, 54-56				
Y	X1 002134100	2,6-10, 13,16, 20-23, 26-28, 36-40				
	abstract page 2613, column 1, last paragraph -column 2, last paragraph page 2617, column 2, paragraph 3 -page 2618, column 2, paragraph 1					
Y	HELMINEN M E ET AL: "A major outer membrane protein of moraxella catarrhalis is a target for antibodies that enhance pulmonary clearance of the pathogen in an animal model" INFECTION AND IMMUNITY, US, AMERICAN SOCIETY FOR MICROBIOLOGY. WASHINGTON, vol. 61, no. 5, 1 May 1993 (1993-05-01), pages 2003-2010, XP002048787 ISSN: 0019-9567 abstract page 2008, column 2, paragraph 2 -page	2,6-10, 13,16, 20-23, 26-28, 36-40				
	2009, column 2, paragraph 2					
	<b>-/</b>					

Inte. Jonal Application No
PCT/EP 00/07424

	PCT/EP 00/0/424
ation) DOCUMENTS CONSIDERED TO BE RELEVANT	•
Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
US 5 098 997 A (ANILIONIS ALGIS ET AL) 24 March 1992 (1992-03-24)	2,6-10, 13,16, 20-23, 26-28, 36-40
column 3, line 31 -column 6, line 35 column 7, line 11 -column 9, line 40 column 18, line 19 -column 29, line 63 column 23, line 51 -column 25, line 55 column 32, line 8 -column 33, line 16	·
US 4 666 836 A (INOUYE MASAYORI ET AL) 19 May 1987 (1987-05-19)	1-10, 13-15, 20-22, 26,27, 35-40, 42,54-56
column 6, line 11-51 column 15, line 14 -column 16, line 5; claims 2,44-46	
US 5 888 722 A (CHEN MING ET AL) 30 March 1999 (1999-03-30)	1-10, 13-15, 20-22, 26,27, 35-40, 42,54-56
column 5, line 1 -column 6, line 35; claims 1-20	
VAN LOON F P L ET AL: "Field trial of inactivated oral cholera vaccines in Bangladesh: results from 5 years of follow-up" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 14, no. 2, 1 February 1996 (1996-02-01), pages 162-166, XP004057360 ISSN: 0264-410X abstract page 162, column 1, paragraph 1 page 164, column 2, last paragraph	43
/	
	US 5 098 997 A (ANILIONIS ALGIS ET AL) 24 March 1992 (1992-03-24)  abstract column 3, line 31 -column 6, line 35 column 7, line 11 -column 9, line 40 column 18, line 19 -column 29, line 63 column 23, line 51 -column 25, line 55 column 32, line 8 -column 33, line 16  US 4 666 836 A (INOUYE MASAYORI ET AL) 19 May 1987 (1987-05-19)  column 15, line 14 -column 16, line 5; claims 2,44-46  US 5 888 722 A (CHEN MING ET AL) 30 March 1999 (1999-03-30)  column 5, line 1 -column 6, line 35; claims 1-20  VAN LOON F P L ET AL: "Field trial of inactivated oral cholera vaccines in Bangladesh: results from 5 years of follow-up" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 14, no. 2, 1 February 1996 (1996-02-01), pages 162-166, XP004057360 ISSN: 0264-410X abstract page 162, column 1, paragraph 1

Int: .ional Application No PCT/EP 00/07424

		PCT/EP 00/07424
C.(Continu	etion) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KYD J M ET AL: "Killed whole bacterial cells, a mucosal delivery system for the induction of immunity in the respiratory tract and middle ear: an overview" VACCINE, GB, BUTTERWORTH SCIENTIFIC. GUILDFORD, vol. 17, no. 13-14, January 1999 (1999-01), pages 1775-1781, XP004158321 ISSN: 0264-410X abstract page 1779, column 1, line 1 -page 1780, column 1, paragraph 1	43
X	LUBITZ W ET AL: "Extended recombinant bacterial ghost system" JOURNAL OF BIOTECHNOLOGY, NL, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, vol. 73, no. 2-3, 20 August 1999 (1999-08-20), pages 261-273, XP004180188 ISSN: 0168-1656 abstract page 262, column 1, last paragraph page 267, column 1, paragraph 2 -page 268, column 1, paragraph 1 page 270, column 1, paragraph 2 -column 2, paragraph 1	43
A	WO 99 10497 A (LEY PETER ANDRE V D; NEDERLANDEN STAAT (NL); STEEGHS LIANA JULIANA) 4 March 1999 (1999-03-04) the whole document	
A	WO 98 33923 A (MASKELL DUNCAN JOHN; DOUGAN GORDON (GB); IMPERIAL COLLEGE (GB)) 6 August 1998 (1998-08-06) the whole document	

PCT/EP 00/07424

## INTERNATIONAL SEARCH REPORT

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inte	ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. χ	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
	Although claim 55 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
	•
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	emational Searching Authority found multiple inventions in this international application, as follows:
	see additional sheet
	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
з. 👔	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
	Part of claims $1-10,12-15,20-22,26,27,35-40,42,54-56$ and whole of claims $16,23,28$ and $43$
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark (	The additional search fees were accompanied by the applicant's protest.

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: Part of claims 1-7,12-15,20-22,26,27,35-40,42, 54-56 and whole of claims 16,23,28

Invention 1
A genetically-engineered "bleb" preparation from a Gram-negative bacterial strain obtainable by a process of reducing immunodominant variable or non-protective antigens within the bleb preparation comprising the steps of determining the identity of such antigen, engineering a

determining the identity of such antigen, engineering a bacterial strain to produce less or none of said antigen, and making blebs from said strain. A modified Gram-negative bacterial strain from which the bleb preparation is made.

2. Claims: Part of claims 1-10,13-15,20-22,26,27,35-40,42, 54-56

Invention 2
A genetically-engineered "bleb" preparation from a
Gram-negative bacterial strain obtainable by a process of
upregulating expression of protective OMP antigens within
the bleb preparation comprising the steps of identifying
such antigen, engineering a bacterial strain so as to
introduce a stronger promoter sequence upstream of a gene
encoding said antigen such that said gene is expressed at a
level higher than in the non-modified bleb, and making blebs
from said strain. A modified Gram-negative bacterial strain
from which the bleb preparation is made.

3. Claims: Part of claims 1-7,13-15,20-22,26,27,35-40,42,54-56 and whole of claims 11,19

Invention 3
A genetically-engineered "bleb" preparation from a
Gram-negative bacterial strain obtainable by a process of
upregulating expression of conditionally-expressed,
protective OMP antigens within the bleb preparation
comprising the steps of identifying such an antigen,
engineering a bacterial strain so as to remove the
repressive control mechanisms of its expression, and making
blebs from said strain. A modified Gram-negative bacterial
strain from which the bleb preparation is made.

4. Claims: Part of claims 1-7,12-15,20-22,26,27,35-40,42, 54-56 and whole of claims 17,24,29

A genetically-engineered "bleb" preparation from a Gram-negative bacterial strain obtainable by a process of modifying lipid A portion of bacterial LPS within the bleb preparation, comprising the steps of identifying a gene involved in rendering the lipid A portion of LPS toxic, engineering a bacterial strain so as to reduce or switch off expression of said gene, and making blebs from said strain. A modified Gram-negative bacterial strain from which the bleb preparation is made.

5. Claims: Part of claims 1-10,13-15,20-22,26,27,35-40,42,54-56 and whole of claims 18,25,30

Invention 5
A genetically-engineered "bleb" preparation from a Gram-negative bacterial strain obtainable by a process of modifying lipid A portion of bacterial LPS within the bleb preparation, comprising the steps of identifying a gene involved in rendering the lipid A portion of LPS less toxic, engineering a bacterial strain so as to introduce a stronger promoter sequence upstream of said gene such that said gene is expressed at a level higher than in the non-modified bleb, and making blebs from said strain. A modified Gram-negative bacterial strain from which the bleb preparation is made.

6. Claims: Part of claims 1-7,13-15,20-22,26,27,35-40,42, 54-56 and whole of claims 31-34

Invention 6
A genetically-engineered "bleb" preparation from a
Gram-negative bacterial strain obtainable by a process of
reducing lipid A toxicity within the bleb preparation and
increasing the levels of protective antigens, comprising the
steps of engineering the chromosome of a bacterial strain to
incorporate a gene encoding a Polymyxin A peptide, or a
derivative or analogue thereof, fused to a protective
antigen, and making blebs from said strain. A modified
Gram-negative bacterial strain from which the bleb
preparation is made.

7. Claims: Part of claims 1-7,13-15,20-22,26,27,35-40,42, 54-56

Invention 7
A genetically-engineered "bleb" preparation from a Gram-negative bacterial strain obtainable by a process of creating conserved OMP antigens on the bleb preparation

comprising the steps of identifying such antigen, engineering a bacterial strain so as so delete variable regions of a gene endoding said antigen, and making blebs from said strain. A modified Gram-negative bacterial strain from which the bleb preparation is made.

#### 8. Claim: Part of claim 54

Invention 8
A genetically-engineered "bleb" preparation from a
Gram-negative bacterial strain obtainable by a process of
reducing expression within the bleb preparation of an
antigen which shares a structural similarity with a human
structure and may be capable of inducing an auto-immune
response in humans, comprising the steps of identifying a
gene involved in the biosynthesis of the antigen,
engineering a bacterial strain so as to reduce or switch of
expression of said gene, making blebs from said strain.

#### 9. Claim: part of claim 54

Invention 9

A genetically-engineered "bleb" preparation from a Gram-negative bacterial strain obtainable by a process of upregulating expression of protective OMP antigens within the bleb preparation comprising the steps of identifying such antigen, engineering a bacterial strain so as to introduce into the chromosome one or more further copies of a gene encoding said antigen controlled by a heterologous, stronger promoter sequence, and making blebs from said strain.

#### 10. Claim: 43

Invention 10

An immuno-protective and non-toxic Gram-negative bleb, ghost or killed whole cell vaccine suitable for paediatric use.

#### 11. Claims: Part of claims 41,44-47

Inventions 11-88

Isolated polynucleotide sequence which hybridizes under highly stringent conditions to at least a 30 nucleotide portion of the nucleotide sequence No. 2 or a complementary strand thereof and its use in performing a homologuous recombination event within 1000 bp upstream of Gram-negative bacterial chromosomal gene in order to either increase or decrease expression of said gene.

..ibidem for sequences No. 3-23,25 and 27-81, which correspond to inventions 12-88 and vectors containing the

same.

12. Claims: Claims 48-53

Invention 89
A process of genetically upregulating expression of a gene withing a Gram-negative bacterial strain comprising the steps making a vector comprising a strong promoter sequence and a nucleotide sequence which is capable of recombination with a sequence of at least 30 nucleotides in the 1000bp upstream of the (undefined) gene, transforming a bacterial strain with the vector, and performing a homologuous recombination event between the chromosome and the vector to introduce the promoter within 1000bp upstream of the initiation codon of the (undefined) gene. Modified bacterial strain obtainable by this method.

Information on patent family members

Inte. .ional Application No
PCT/EP 00/07424

Patent document sited in search repor	t	Publication date	1	Patent family member(s)	Publication date
JS 5098997	A	24-03-1992	AT	124420 T	15-07-1995
,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	• •		AU	651030 B	07-07-1994
			AU	3379693 A	29-04-1993
				631378 B	26-11-1992
			AU		
			AU	4228889 A	02-04-1990
			DE	68923286 D	03-08-1995
			DE	68923286 T	07-03-1996
•			DK	35891 A	30-04-1991
			EP	0432220 A	19-06-1991
			JP	4502147 T	16-04-1992
			JP	3073212 B	07-08-2000
			KR	162488 B	16-11-1998
					_ · ·
			KR	170752 B	01-10-1999
			WO	9002557 A	22-03-1990
			US	5196338 A	23-03-1993
			AT	159170 T	15-11-1997
			AU	615429 B	03-10-1991
			AU	1154188 A	27-07-1988
			CA	1335655 A	23-05-1995
			DE	3752131 D	20-11-1997
			DE	3752131 T	26-02-1998
				<del>-</del> ·	
			DK	482788 A	31-10-1988
			EP	0294469 A	14-12-1988
			EP	0786472 A	30-07-1997
			JP	3066339 B	17-07-2000
			JP	10057059 A	03-03-1998
			JP	3023089 B	21-03-2000
			JP	11146797 A	02-06-1999
			JP	3124753 B	15-01-200
			JP	11253160 A	21-09-1999
			JP	2872254 B	17-03-1999
					06-12-1996
			KR	9616208 B	
	<del></del>		W0	. 8804932 A	14-07-198
US 4666836	Α	19-05-1987	DE	3176765 D	07-07-1988
			DK	582381 A	03-07-1982
			EP	0055942 A	14-07-1982
			GB	2091269 A,B	28-07-1982
			GR	76959 A	04-09-198
			JP	57140800 A	31-08-1982
			US	4624926 A	25-11-198
			U3 	7ULTJLU M	4J 11 170
US 5888722	Α	30-03-1999	FR	2755446 A	07-05-1998
			WO	9820123 A	14-05-1998
		04 00 1000			16 00 100
WO 9910497	Α	04-03-1999	AU	3954097 A	16-03-1999
			EP	0991761 A	12-04-2000
			NO	20000774 A	14-04-2000
WO 9833923	A	06-08-1998	AU	5873498 A	25-08-1998
			EP	0973911 A	26-01-200